

Sodium Benzoate as a Mild Base Catalyst for the Tandem *Michael*-Aldol Self-Condensation of γ,δ -Unsaturated β -Ketoesters

Cornelis M. Moorhoff^{1,a,*} and David F. Schneider²

¹ Department of Chemistry, University of Tasmania, Hobart, Tasmania 7001, Australia

² Department of Chemistry, University of Stellenbosch, Stellenbosch 7602, South Africa

Summary. An efficient procedure has been developed for the tandem *Michael*-aldol self-condensation of δ,γ -unsaturated β -ketoesters (**1**), using sodium benzoate as a mild base catalyst to furnish conjugated vinylcyclohexenedicarboxylates (**2**) some of which show biological activity against ectoparasites in cattle.

Keywords. Unsaturated β -ketoester; 2-Cyclohexenone; *Michael*-aldol condensation; Sodium benzoate.

Natriumbenzoat als milder basischer Katalysator für die Tandem-*Michael*-Aldol-Selbstkondensation von γ,δ -ungesättigten β -Ketoestern

Zusammenfassung. Natriumbenzoat ist als milder basischer Katalysator ausgezeichnet zur Anwendung bei der Tandem-*Michael*-Aldol-Selbstkondensation von γ,δ -ungesättigten β -Ketoestern (**1**) geeignet. Es entstehen Vinylcyclohexenondicarboxylate (**2**), von denen einige biologische Aktivität gegen Ektoparasiten bei Rindern zeigen.

Introduction

Methods for the preparation of substituted 2-cyclohexenones are important in organic synthesis [1]. Whereas the *Robinson* annelation is especially useful for the synthesis of 3-oxobicyclo[4.4.0]dec-1-enes [2], new methodologies for the synthesis of simple substituted 2-cyclohexenones are of great interest. Some of the well-known methods available are the *Birch* reduction of substituted anisoles followed by hydrolysis [3, 4], *Michael*-aldol condensations of α,β -unsaturated carbonyl compounds with ketones followed by dehydration (*i.e.* *Robinson* type annelations) [5, 6], and alkylations of enolizable 1,3-cyclohexadiones [7]. Recently, *N-tert*-butyl-1-aza-1,3-butadienes have been reacted with β -diketones to furnish 2-cyclohexenones [8]. One of us has described the synthesis of 2-

^a Present address: Division of Molecular Science, CSIRO, Private Bag 10, Clayton South MDC, Victoria 3169, Australia

* Corresponding author

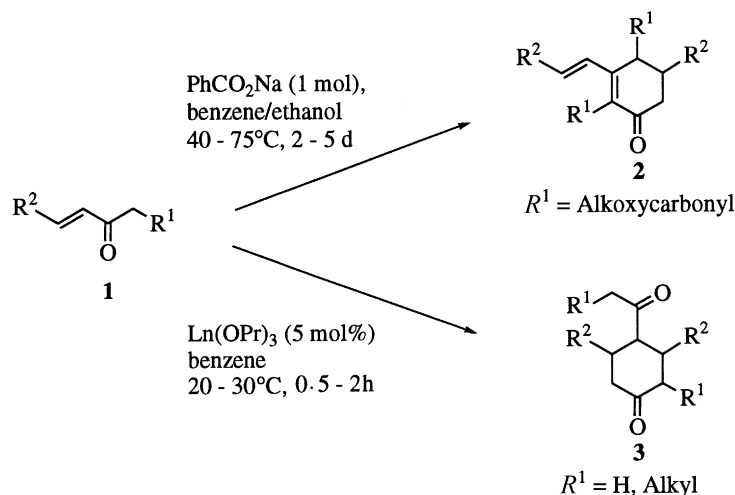
cyclohexenones *via* a *Michael-Wittig* condensation of substituted 2*H*-pyran-5-carboxylates and the γ -arsonium ylide of acetoacetate [9] which is based on a similar observation obtained from condensations of conjugated unsaturated carbonyl compounds and the γ -phosphonium ylide of acetoacetate [10].

For base catalyzed annelations, the focus is presently on the use of lanthanide triisopropoxides to induce tandem *Michael-Michael* annelations [11] and *Michael-aldol* condensations of α,β -unsaturated ketones [12]. On the other hand, we had recently success using lithium carbonate and sodium or potassium benzoate as base catalysts for the synthesis of 2*H*-pyran-5-carboxylates from α,β -unsaturated aldehydes and esters of acetoacetate [13]. Since we were interested in the synthesis of a new type of insect growth regulator [14], we now describe an efficient synthesis of substituted dialkyl 6-oxo-2-vinylcyclohex-1-ene-1,3-dicarboxylates (**2**). These compounds were prepared by *Michael-aldol* self-condensation of γ,δ -unsaturated β -ketoesters (**1**) [15, 16] using sodium benzoate as a superior base catalyst for this type of reaction (Schemes 1–3).

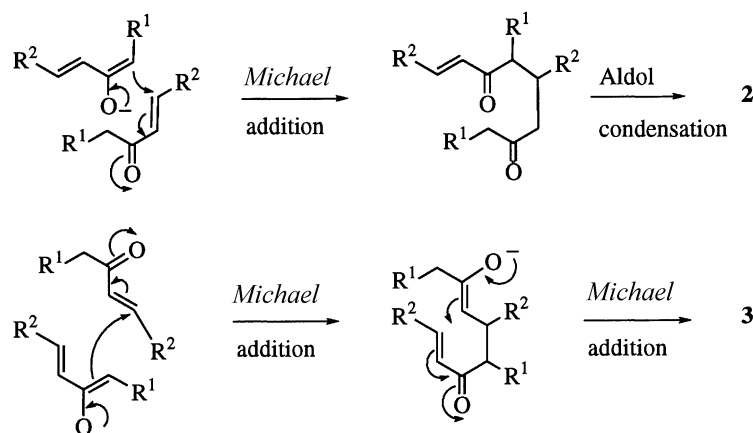
Results and Discussion

Coincidental with our own work on self-dimerizations, *Okano* and co-workers reported on the cyclodimerization of α,β -unsaturated ketones (**1**; $R = \text{H, alkyl}$) in the presence of lanthanoid triisopropoxides to produce cyclohexanones (**3**; $R = \text{H, alkyl}$) (Scheme 1). This reaction proceeded *via* a tandem *Michael-Michael* addition mechanism (Scheme 2) [11]. The authors reported that the rate of this reaction could be increased by performing the reactions in 2-propanol instead of non-polar solvents like benzene [12]. The self-dimerization of α,β -unsaturated ketones to cyclohexenones is less well known [12].

In sharp contrast, we have found that base catalyzed dimerization of γ,δ -unsaturated β -ketoesters (**1**; $R = \text{alkoxycarbonyl}$) can easily be accomplished in the presence of sodium benzoate and triethylbenzylammonium chloride (*TEBAC*) as a



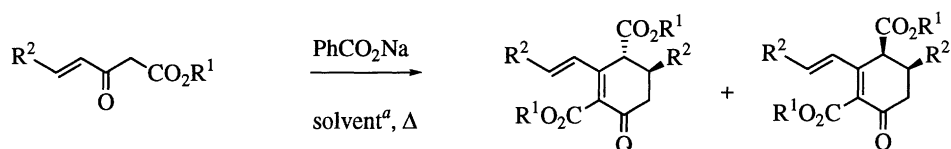
Scheme 1. The *Michael-aldol* and *Michael-Michael* addition of conjugated unsaturated ketones and δ,γ -unsaturated β -ketoesters



Scheme 2. Mechanism of the *Michael*-aldol condensation and *Michael-Michael* addition of conjugated unsaturated carbonyl compounds

phase transfer catalyst. With this procedure, cyclohexenones (**2**; R = alkoxy-carbonyl) (Scheme 1) were obtained *via* a tandem *Michael*-aldol mechanism (Scheme 2). Note that the formation of **2** actually proceeds *via* the same type of intermediate as for the production of compounds **3** [11]. However, the intermediate *Michael*-adduct could not be isolated by us or by Okano and co-workers [11]. Likewise, we have found that alcohols as solvents enhance the tandem *Michael*-aldol self-condensation of γ,δ -unsaturated β -ketoesters (**1**). In our case, bases like NaOEt, NaOH, or Na₂CO₃ were too harsh and actually led to a substantial amount of decarboxylation of **1** to the corresponding conjugated 2-enones. Sodium benzoate is an even milder catalyst for these condensations than sodium acetate. Additives like hydroquinone to curb polymerization and magnesium sulfate to remove water further increased the yield of **2**. This *Michael*-aldol [17] reaction has some similarities with the *Michael-Dieckmann* addition [18] and *Michael-Claisen* condensations [19, 20], but is closely related to the *Robinson* annelation [2, 5].

The ease by which our dimerization-annelations of **1** to **2** took place and the reason why this reaction was so regioselective is most likely due to the activating and directing effect of the alkoxy-carbonyl group and the ionic sodium enolate of the intermediate *Michael* addition complex as compared to the lanthanoid enolates which eventually led to quite a different product **3** [11]. For all our investigated substrates, the γ,δ -unsaturated- β -ketoesters **1** gave smoothly the annelated products **2** in a modest yield of the expected approx. 1:1 mixture of two diastereomers. We were able to separate most of the diastereomers of **2** by careful chromatography (Scheme 3). All products were *trans* geometric isomers (Table 2). ¹H NMR spectroscopy revealed that the diastereomer with the *cis* configuration (designated *ii*) had only small vicinal coupling constants for both geminal protons 12, for example in the case of **2a-ii**: $J = 4.8$ and 4.9 Hz at $\delta = 2.80$ and 2.28 ppm, respectively. Furthermore, a small vicinal coupling constant of $J = 3.8$ Hz of the methoxycarbonyl methine ring-proton 8 at $\delta = 3.64$ ppm showed that the conformation of **2a-ii** is such that the methoxycarbonyl group occupies the



1a: $R^1 = \text{Et}$, $R^2 = (\text{CH}_3)_2\text{C}=\text{CH}$	2a, 46% ^b
1b: $R^1 = \text{Me}$, $R^2 = \text{CH}_3\text{CH}=\text{CH}$	2b, 39%
1c: $R^1 = \text{Me}$, $R^2 = (\text{CH}_3)_2\text{CH}(\text{CH}_2)_3(\text{CH}_3)\text{CHCH}_2$	2c, 33%
1d: $R^1 = \text{Et}$, $R^2 = (\text{CH}_3)_2(\text{MeO})\text{CH}(\text{CH}_2)_3(\text{CH}_3)\text{CHCH}_2$	2d, 38%
1e: $R^1 = \text{Et}$, $R^2 = (\text{CH}_3)_2\text{CH}(\text{CH}_2)_3(\text{CH}_3)\text{CHCH}_2$	2e, 35%

^a See experimental; ^b isolated yields of both diastereomers

Scheme 3

equatorial position and the 2-methylprop-1-enyl group the axial position. In the *trans* configuration **2a-i**, one of the vicinal coupling constants of one of the geminal protons is quite large, ($J = 12.8$ Hz, *trans*-diaxial, $\delta = 2.91$ ppm), and both methoxycarbonyl- and 2-methylprop-1-enyl groups are in the equatorial position and probably slightly distorted (moved out of the plane) due to steric interaction. This has an effect on the olefinic protons 5 and 6 of the side chain. Proton 5 is more deshielded, whereas proton 6 is more shielded than that of the *cis* molecule. This feature was used as another diagnostic tool for the other molecules **2**. Biological screening tests revealed that cyclohexenonedicarboxylates **2d** and **2e** show some activity against ectoparasites (flea larva and mites) in pets and cattle.

In summary, sodium benzoate is a soft base catalyst to induce a regioselective tandem *Michael*-aldol self-condensation of γ,δ -unsaturated β -ketoesters (**1**) to furnish 4-vinyl-6-oxocyclohex-1-ene-1,3-dicarboxylates (**2**) in the expected two diastereomers without decarboxylation of the β -ketoester moiety of the substrate **1** or the product **2**.

Experimental

All reactions were carried out in a nitrogen atmosphere. ¹H NMR and ¹³C NMR were recorded on a Varian FT-80 at 80 or 20 MHz, respectively, or on a Varian 200 spectrometer at 200 MHz and 50.3 MHz, respectively, in CDCl₃ with *TMS* as internal standard. High resolution electron ionization (EI) mass spectra were obtained from a Varian MAT 311A instrument, and high resolution chemical ionization (CI) mass spectra using ammonia were obtained from a Kratos Concept ISQ instrument. Ultraviolet absorbance was measured as solutions in 96% EtOH on a Varian SuperScan 3 spectrophotometer. Microanalyses were performed by Microanalytisches Labor Pascher (Bonn, Germany). Column chromatography was performed using Merck Si-60 (40–63 mm) silica gel. Diethyl ether (ether) was dried and distilled from sodium or LiAlH₄. Light petroleum is the hexane fraction boiling between 40 and 60°C.

Methyl 7-methyl-3-oxoocta-4,6-dienoate (**1a**)

Methyl 7-methyl-3-oxoocta-4,6-dienoate (**1a**; 1.00 g, 5.49 mmol) in benzene (10 ml) was condensed in the presence of sodium benzoate (0.80 g, 5.55 mmol), magnesium sulfate (0.70 g, 5.82 mmol), hydroquinone (0.60 g, 5.45 mmol), and *TEBAC* (1.25 g, 5.49 mmol) and heated at 60°C for 5 days.

Table 1. ^{13}C Chemical shifts (δ_{C} in ppm) of compounds **2a**, **2b**, **2c**, **2d**, and **2e**^a

Carbon	2a - <i>i</i> ^b	2a - <i>ii</i>	2b - <i>i</i> ^b	2b - <i>ii</i>
1, 19	25.64, 26.50	25.85, 26.52	17.89	17.85
2	144.82	145.34	139.89	139.17
18	135.09	135.67	138.17	137.78
4	126.22	125.84	127.80	127.91
5	135.46	136.21	131.79	131.79
6	124.16	123.11	125.71	126.63
7	147.94	149.98	148.80	146.99
8	47.49	45.71	46.26	46.89
3, 20	17.88, 18.91	18.07, 18.95		
9, 15	166.89, 171.56	167.17, 170.39	169.98, 166.92	166.73, 171.28
10, 16	52.22, 52.58	52.28 (2x)	52.25 (2x)	52.30, 52.70
11	35.91	35.45	39.18	39.57
12	40.22	39.16	38.26	39.57
13	193.90	194.98	194.87	193.77
14	132.04	131.69	132.32	130.56
17	125.82	125.34	129.76	130.56

Carbon	2c ^{b,d}	2d - <i>i</i> ^{c,d}	2d - <i>ii</i> ^{c,d}	2e - <i>i</i> ^{c,d}	2e - <i>ii</i> ^{c,d}
1, 3, 27, 28	22.60 (2x), 22.69 (2x)	24.75 (4x)	24.74 (4x)	22.46 (2x), 22.57 (2x)	22.49 (2x), 22.60 (2x)
2, 26	27.97, 29.28	74.28	74.27 (2x)	27.81 (2x)	27.86 (2x)
4, 25	39.25 (2x)	39.83 (2x)	39.81 (2x)	39.01 (2x)	39.03 (2x)
5, 24	24.58, 24.76	28.96 (2x)	28.95, 29.68	24.39, 24.66	24.38, 24.64
6, 23	37.29, 37.37	21.03, 20.77	21.01, 20.74	36.77, 37.24	36.68, 36.83
7, 22	33.19, 33.82	32.82 (2x)	33.40, 33.97	32.98, 33.52	32.95, 33.00
8	41.17	41.03	40.94	41.16	41.02
9	142.29	142.04	141.07	142.17	141.31
10	128.00	127.70	128.45	127.75	128.64
11	149.00	148.64	146.63	148.77	146.37
12	46.10	45.88	47.24	45.94	45.62
13, 29	19.55 (2x)	19.11, 19.30	18.90, 19.27	19.27, 19.44	19.43, 19.48
14, 30	171.65 (2x)	166.42, 169.67	171.08 (2x)	166.53, 169.78	166.24, 171.27
15, 31	52.15 (2x)	61.15, 61.30	61.22 (2x)	61.22 (2x)	61.35, 61.50
16, 32		14.02 (2x)	14.01 (2x)	14.11 (2x)	14.08, 14.12
17	36.93	36.99	36.98	36.77	36.83
18	40.91	39.99	40.53	40.72	41.05
19	195.44	195.60	194.37	195.74	194.89
20	132.28	132.08	131.72	132.13	131.81
21	37.47	37.30	37.41	37.14	37.11
R = OMe		48.89	48.87		

^a At room temperature in CDCl_3 ; consult Scheme 3 for compounds; ^b at 20 MHz; ^c at 50 MHz; ^d signal assignments are not accurate; a bundle of peaks are in the aliphatic region, correlated with HETCOR ^1H - ^{13}C NMR techniques and APT experiments; some signals can be interchanged; ^e an almost identical set of peaks for the diastereomer due to the racemic aldehyde mixture used was obtained in equimolar amounts, only one set is given

Table 2. ¹H Chemical shifts (δ_{H} in ppm) of compounds **2a**, **2b**, **2c**, **2d**, and **2e**^a

Proton	2a-i	<i>J</i> (Hz)	2a-ii	<i>J</i> (Hz)	2b-i	<i>J</i> (Hz)	2b-ii	<i>J</i> (Hz)
1, 19	1.69, 1.73	2×s	1.66, 1.68	2×s	1.70, 1.84	2×d, 5.3 and 5.2	1.63, 1.83	2×d, 4.7 and 5.2
2, 18					5.43–6.37	m	5.45–6.38	m
3, 20	1.86	s	1.84, 1.85	2×s				
4	6.00	d, 11.2	5.97	d, 11.0	5.43–6.37	m	5.45–6.38	m
5	7.20	dd, 15.5, 11.2	7.01	dd, 15.1, 11.0	6.75	dm, 16.0	6.67	dm, 16.0
6	6.19	d, 15.5	6.23	d, 15.1	5.43–6.37	m	5.45–6.38	m
8	3.71	d, 5.0	3.64	d, 3.8	3.66–3.85	m	3.65–3.77	m
10, 16	3.70, 3.82	2×s	3.71, 3.83	2×s	3.68, 3.84	2×s	3.72, 3.85	2×s
11	3.22	m	3.37	m	2.97	sm	3.17	sm
12	2.29,	ddd, 16.4, 4.6, 0.6	2.28,	dd, 16.6, 4.9	2.40	dm, 11.6	2.45,	dm, 16.8
	2.91	ddd, 16.4, 12.8, 0.6	2.80	dd, 16.6, 4.8	2.89	dm, 11.6	2.86	dm, 16.8
17	4.98	d, 8.8	5.14	d, 9.3	5.43–6.37	m	5.45–6.38	m

Proton	2c	<i>J</i> (Hz)	2d-i^b	<i>J</i> (Hz)	2d-ii^b	<i>J</i> (Hz)	2e-i^b	<i>J</i> (Hz)	2e-ii^b	<i>J</i> (Hz)
1, 3, 27, 28	0.86	d, 6.1	1.069	s	1.071	s	0.873	d, 6.5	0.867	d, 6.5
2, 7, 22, 26	1.5–2.3	m	1.4–1.7	m	1.4–1.7	m	1.4–1.7	m	1.4–1.7	m
4, 5, 6, 21,	1.0–1.5	m	1.1–1.5	m	1.1–1.5	m	1.1–1.5	m	1.1–1.5	m
23, 24, 25										
13, 29	0.86	d, 6.1	0.808	d, 6.0	0.807	d, 7.0	0.873	d, 6.5	0.851	d, 6.6
8	1.5–2.3	m	1.9–2.2	m	1.9–2.2	m	2.041	dm, 14.0, 7.2	1.92–2.10	m
9	6.54	dt, 15.8, 6.1	6.413	dt, 15.6, 7.4	6.18–6.25	m	2.235	dm, 14.0, 7.0	6.289	sm
10	6.07	d, 15.8	6.145	dm, 15.6	6.18–6.25	m	6.494	dt, 15.8, 7.2	6.289	sm
12	3.6–3.8	m	3.648, 3.623	2×d, 5.2, 4.8 ^c	3.525, 3.473	2×d, 3.0, 3.0 ^c	6.226	dm, 15.8	6.289	sm
15, 31	3.70, 3.85	2×s	4.277, 4.117	2×q, 7.2	4.283, 4.128	2×q, 7.2	3.701	d, 4.5	3.600, 3.548	2×d, 2.4, 3.1 ^c
16, 32			1.276, 1.192	2×t, 7.2	1.277, 1.196	2×t, 7.2	4.358, 4.195	2×q, 7.1	4.343, 4.194	2×q, 7.1
17	2.35–2.73	m	1.9–2.2	m	1.9–2.2	m	1.269, 1.355	2×t, 7.1	1.260, 1.344	2×t, 7.1
18	2.35–2.73	m	2.2–2.6	m	2.2–2.6	m	2.332–2.72	m	2.54–2.68	m
<i>R</i> = OMe			3.098	s	3.104	s	2.1–2.9	m	2.829,	dd, 16.7, 5.2
									2.294	dd, 16.7, 3.1

^a At 80 MHz CDCl₃; ^b at 200 MHz in CDCl₃; ^c due to racemic aldehyde used for the preparation of **1c**, **1d**, and **1e**, the second set of **2d-i**, **2d-ii**, and **2e-ii** has almost identical chemical shifts or coupling constants except for proton 12

The reaction mixture was diluted with ether: light petroleum (1:1, 20 ml), washed with aqueous saturated sodium hydrogen carbonate solution, and the dried extract was filtered over a bed of silica gel. The filtrate was concentrated and the residue chromatographed on silica gel to give after elution with ether: light petroleum (1:4) dimethyl 4-(4-methylpenta-1,3-dienyl)-2-(2-methylprop-1-enyl)-6-oxocyclohex-1-ene-1,3-dicarboxylate (**2a**) in a mixture of two diastereomers **2a-i** (0.24 g, 25%) and **2a-ii** (0.20 g, 21%).

$C_{20}H_{26}O_5$; calcd.: C 70.56, H 8.07; found: C 70.16, H 8.07; $\lambda_{max} = 343, 230 \text{ nm}$ ($\epsilon = 25800, 4900$); HRMS (EI): calcd. for $C_{20}H_{26}O_5$ (MH^+): $m/z = 346.1780$, found: $m/z = 346.1793$.

Methyl 3-oxoocta-4,6-dienoate (**1b**)

Methyl 3-oxoocta-4,6-dienoate (**1b**; 2.00 g, 11.9 mmol) in benzene (12 ml) was condensed in the presence of sodium benzoate (1.80 g, 12.5 mmol), magnesium sulfate (1.50 g, 12.5 mmol), hydroquinone (1.50 g, 13.6 mmol), and *TEBAC* (2.00 g, 8.78 mmol) and heated at 75°C for 45 h. The reaction mixture was diluted with ether: light petroleum (1:1, 20 ml), washed with aqueous saturated sodium hydrogen carbonate solution, and the dried extract was filtered over a bed of silica gel. The filtrate was concentrated and the residue chromatographed on silica gel to give after elution with ether: light petroleum (1:4) dimethyl 4-(penta-1,3-dienyl)-2-(prop-1-enyl)-6-oxocyclohex-1-ene-1,3-dicarboxylate **2b** in a mixture of two diastereomers **2b-i** (0.41 g, 22%) and **2b-ii** (0.32 g, 17%).

Methyl 7,11-dimethyl-3-oxododec-4-enoate (**1c**)

Methyl 7,11-dimethyl-3-oxododec-4-enoate (**1c** [14]; 0.80 g, 3.14 mmol) in benzene (10 ml) was condensed in the presence of sodium benzoate (0.45 g, 3.12 mmol), magnesium sulfate (0.7 g, 5.82 mmol), hydroquinone (0.40 g, 3.63 mmol), and *TEBAC* (0.70 g, 3.1 mmol) and heated at 75°C for 36 h. The reaction mixture was diluted with ether: light petroleum (1:1, 20 ml) and filtered over a bed of silica gel. The filtrate was concentrated and the residue chromatographed on silica gel to give some recovered keto ester **1c** (10%) and after elution with ether: light petroleum (1:4) dimethyl 4-(2,6-dimethylheptyl)-2-(4,8-dimethylnon-1-enyl)-6-oxocyclohex-1-ene-1,3-dicarboxylate **2c** in a mixture of two times two diastereomers (0.25 g, 32%).

$C_{30}H_{50}O_5$; calcd.: C 73.43, H 10.27; found: C 73.79, H 10.27; $\lambda_{max} = 282.5 \text{ nm}$ ($\epsilon = 20200$); HRMS (EI) calcd. for $C_{30}H_{50}O_5$ (MH^+): $m/z = 490.3658$, found: $m/z = 490.3659$.

Ethyl 11-methoxy-7,11-dimethyl-3-oxododec-4-enoate (**1d**)

Ethyl 11-methoxy-7,11-dimethyl-3-oxododec-4-enoate (**1d** [14, 21]; 1.64 g, 5.50 mmol) in absolute ethanol (15 ml) was condensed in the presence of sodium benzoate (1.1 g, 7.6 mmol), magnesium sulfate (1.1 g, mmol), a catalytic amount of hydroquinone, and *TEBAC* (0.45 g, mmol) and heated at 50°C for 5 days to give after workup as described for **1a** diethyl 4-(6-methoxy-2,6-dimethylheptyl)-2-(8-methoxy-4,8-dimethylnon-1-enyl)-6-oxocyclohex-1-ene-1,3-dicarboxylate **2d** in 2 separated mixtures of 2 diastereomers (4 diastereomers) (0.60 g, 38%).

IR: ν_{max} (film) = 2970 (s), 2938 (s), 1732 (s), 1672 (s), 1632 (m), 1592 (m), 1464 (m), 1367 (m), 1237 (m), 1152 (s), 1084 (m), 1032 (m), 733 (m) cm^{-1} ; HRMS (CI): calcd. for $C_{34}H_{59}O_7$ (MH^+): $m/z = 579.4261$, found: $m/z = 579.4272$; MS (CI, ammonia): $m/z = 596$ ($M+NH_4$), 100), 579 (40), 564 (20), 547 (25%), 517 (30).

Ethyl 7,11-dimethyl-3-oxododec-4-enoate (**1e**)

Ethyl 7,11-dimethyl-3-oxododec-4-enoate (**1e**, [14]) was condensed in the presence of sodium benzoate, magnesium sulfate, and *TEBAC* in ethanol as described for **1d** to give diethyl 4-(2,6-

dimethylheptyl)-2-(4,8-dimethylnon-1-enyl)-6-oxocyclohex-1-ene-1,3-dicarboxylate **2e** in 2 separated mixtures of 2 diastereomers (4 diastereomers) (35%).

HRMS (CI): calcd. for $C_{32}H_{55}O_5$ (MH^+): $m/z = 519.4061$, found: $m/z = 519.4036$; MS (CI, ammonia): $m/z = 596$ ($M+NH_4$), 100), 579 (40), 564 (20), 547 (25%), 517 (30).

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